Possible Existence of Alkali Metal Orthocarbonates at High Pressure

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Abstract: We investigate the possible existence of crystalline alkali metal orthocarbonates, A_4CO_4 , where $A = Li$, Na, K, Rb, and Cs. We study the equilibrium between the possible modifications of the orthocarbonate A_4CO_4 and the binary mixture of the possible modifications of the alkali oxide A_2O and those of the alkali metal carbonate

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 $200-220 \text{ GPa} \text{ (Cs}_{4}\text{CO}_{4}\text{)}.$ bonates · high pressure polymorphs · structure prediction

 A_2CO_3 as function of pressure. In all cases, the orthocarbonate should be stable at sufficiently high pressure ranging from $22-32$ GPa (Rb₄CO₄) to

Introduction

Orthocarbonic acid is a tetraprotic acid and can be considered to be formed by the double hydration of carbon dioxide, which has not been observed thus far.[1] However, it has been shown computationally^[2,3] that the most stable structure of H_4CO_4 corresponds to a local minimum on the energy landscape with an S_4 symmetry. Despite the absence of orthocarbonic acid and its salts, the orthocarbonate esters $(RO)₄C$ and $(ArO)₄C$ are well known.^[1,3] The existence of these esters led to expectations that its salts, the orthocarbonates, should also be accessible.^[4] Successful synthesis of the potassium, rubidium, and cesium trifluororthocarbonates $ACOF₃$ (A = K, Rb, Cs) by Ostwald ripening in CH₃CN applying a COF₂ pressure of $3 \text{ bar}^{[5]}$ support our idea that alkali metal orthocarbonates should also exist. Such compounds are of interest not only because they would fill a gap in the chemical systematics, but also because of the role they might play in the mineral forming processes in the Earth's crust and mantle at high pressure and temperature conditions.

Among the elements of the second Period, nitrogen is the only one that forms an "isolated" tetrahedral tetraoxo anion, the so-called orthonitrate.^[6,7] All our attempts to synthesize alkali metal orthocarbonates from the corresponding carbonates and oxides in analogy to orthonitrates at ambient pressure have failed thus far. Nevertheless it appears to be a natural consequence of the pressure–coordination rule^[8] that under high-pressure conditions The possibility exist to convert, for example, carbonate compounds into structures containing carbon in fourfold coordination by oxygen, since higher coordination usually results in smaller molar volume, which is favored at high pressure according to Le Chatelier's principle. This has led to predictions that at very high pressures the orthocarbonate $Li₄CO₄$ with isolated $CO₄$ units,^[4]and a post-aragonite structure of $CaCO₃$ containing chains of CO_4 tetrahedra^[9] might exist.

Since the synthesis route via the application of high hydrostatic pressures appears to be promising, we have started to investigate the relevant equilibria $A_2O + A_2CO_3 \rightleftharpoons$ A_4CO_4 (A=alkali metal) as a function of pressure using computational techniques. For this it is necessary to study the part of the enthalpy surface of the A/C/O system with composition A_4CO_4 at several different pressures, in order to determine as many candidates as possible for both ambient and high pressures. Of course, such an orthocarbonate would compete with high-pressure phases of the corresponding regular carbonates plus oxides which have previously been studied.^[10,11]

As a consequence the orthocarbonate system poses an additional challenge because most work on structure prediction up to now has been restricted to chemical systems, where the competing phases correspond to different modifications of the same compound. However, for essentially all classes of ternary materials, an equilibrium exists between the ternary phase and the constituting binary phases, for a given overall composition. The range of (thermodynamic) stability of such a ternary phase is a function of applied tem-

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perature and pressure. For instance, for a given overall composition, at standard pressure two binary phases might be observed, while in the high-pressure regime only one ternary compound of the prescribed composition exists.

The identification of stable phases of such systems in an efficient fashion^[12,13] requires the exploration of the enthalpy landscapes of all relevant binary and ternary compounds in a given chemical system, together with a computation of the (free) enthalpy of various combinations of these compounds under the condition of constant overall composition. In earlier work, several structure candidates for Li_4CO_4 have been presented.^[4] However, the crucial issue of the competition between the orthocarbonate and the 1:1 mixture of the alkali metal oxide A_2O and the alkali metal carbonate A_2CO_3 including both phases stable at ambient pressure and those stable at high pressures was not considered. As a first step towards addressing this issue, we have computed the pressure-phase diagrams of the alkali metal carbonates $A_2CO_3^{[10]}$ and the alkali metal oxides $A_2O^{[11]}$ (A = Li, Na, K, Rb, Cs).

In this work, we study the enthalpy landscapes of the alkali metal orthocarbonates A_4CO_4 . For each of these systems, we determine the thermodynamically stable phase as a function of pressure, and compare the enthalpies of the orthocarbonates with those of the 1:1 mixture of the corresponding oxides and carbonates.

Methods

Modeling the landscape: Our general approach to the determination of structure candidates has been given in detail elsewhere.^[12,14] A summary of the procedure is given here: The structure candidates that should be capable of existence, at least at low temperatures, correspond to local minima of the enthalpy hypersurface $(H = E_{pot} + pV)$ of the chemical system under investigation. Finding these candidates requires the use of a global optimization method as well as local optimization procedures, since we permit free variation of atom positions, cell parameters, ionic charges and composition, during the global landscape exploration. Since global optimization methods, in general, involve many millions of energy evaluations for atomic configurations, one usually cannot perform the energy calculations using ab initio methods. Therefore, we modeled the systems as spherical ions that interact via a simple empirical two-body interaction potential, $V_{ii}(r_{ii})$, consisting of a Coulomb and a Lennard-Jones term that depend only on the atom–atom distance r_{ii} in order to allow fast calculations of the energy, $E_{\text{pot}} = \sum_{i < j} V_{ij}(r_{ij})$, of a given configuration:

$$
V_{ij}(r_{ij}) = \frac{q_i q_j}{r_{ij}} \exp(-\mu r_{ij}) + \varepsilon_{ij} \left[\left(\frac{\sigma_{ij}}{r_{ij}} \right)^{12} - \left(\frac{\sigma_{ij}}{r_{ij}} \right)^{6} \right]
$$
(1)

The parameters entering the empirical potential are the sum of the ionic radii multiplied by a scaling factor r_s , σ_{ij} =

 $r_s(r_{\text{ion}}(i) + r_{\text{ion}}(j))$, the parameters ε_{ij} , and the convergence parameter μ . The ionic radii of the metal cations employed during the landscape explorations in this work were taken from Emsley:^[15] $r_{\text{ion}}(Li^{+})$ = 0.78 Å, $r_{\text{ion}}(Na^{+})=0.98$ Å, $r_{\text{ion}}(K^+)$ =1.33 Å, $r_{\text{ion}}(Rb^+)$ =1.49 Å, $r_{\text{ion}}(Cs^+)$ =1.65 Å. Furthermore, we used $r_s = 1.1$, $\varepsilon_{ii} = 0.4$ and $\mu = 0.1$.

Since we are only interested in those modifications in the $A/C/O$ system, which consist of metal cations and $CO₄$ -complex anions, we employed fixed $(CO₄)⁴⁻$ building units during the global optimizations. The building unit was treated as rigid with fixed charge distribution for each individual optimization run, and we used two limiting charge distributions, $(q(C)=+4, q(O)=-2)$ and $(q(C)=0, q(O)=-1)$, and a tetrahedral shape analogous to $SiO₄$ units in crystobalite.

Since this structural unit has not yet been observed within an extended solid, we have previously optimized the size of the building units over a range of $C-O$ distances, that is, $d(C-O)=1.37-1.57 \text{ Å}$, using a feedback loop between the global and local optimization stages.[4] The lower limit of $d(C-O)$ was chosen to approximate the sum of the ionic radii of C^{4+} and O^{2-} , while the upper limit was based on the repulsion between the oxygen ions analogous to the one in the $SiO₄$ tetrahedron in crystobalite. During the global optimization stage of the feedback loop, the building unit was treated as a rigid soft body with fixed charge distribution for each individual optimization run. The adjustment of the size of the building unit occurred by alternating empirical-potential global optimizations and ab initio level local optimizations for the structure candidates found in the global optimization step. The final C–O distance of $d(C-\overline{O})=1.42 \text{ Å}$ is in satisfactory agreement with those experimentally determined for molecules containing a COF_3 unit.^[5]

Since we are interested in crystalline compounds, we have introduced periodic boundary conditions and employed up to three formula units during the global optimizations. The calculations were repeated for a number of pressures starting with a pressure of one atmosphere increasing in steps by a factor of 10 up to 1 TPa, and similarly for negative pressures up to -100 GPa. The negative pressure range is of interest for several reasons: In many cases modifications found to be deep-lying local minima at negative pressures happen to be thermodynamically preferred phases at high temperatures at standard pressure, reminiscent of Ostwald's rule.[16] Similarly, such a modification found at negative pressures can occur as a low-temperature modification at standard pressure in one of the homologue systems.[8] Finally, such modifications can occur if the synthesis takes place under effective negative pressures, for example, crystal growth within a low-density amorphous matrix.^[17]

Optimization procedures and selection of basis sets: The global optimizations were performed by employing the stochastic simulated annealing algorithm,^[18,19] with a temperature schedule $T_n = T_0 \gamma^n$ ($\gamma = 0.95, ..., 0.995$). The moveclass consisted of atom movement and building unit rotation (about 80% of the moves), atom exchange (5%), and random variations of the cell parameters (15%), keeping the ionic charges fixed. In order to determine which combination of basis sets is optimal for the ab initio calculations, a number of basis sets were tested for A_2O , A_2CO_3 and A_4CO_4 . Some of the basis sets were all-electron basis sets^[20] $(AEBS)$,¹ and some were "Stuttgart/Cologne-type" pseudopotential basis sets^[21] (PPBS), in the case of Rb (ECP28MWB), and Cs (ECP46MWB). The optimal outermost shell exponents were optimized through energy minimization of the crystal energy. The final optimized basis sets can be found in references [10] and [11].

Weighting of phase contributions and determination of transition pressures: In addition to the optimized cell parameters, the $E(V)$ curves found by the local optimizations in a given chemical system X (X=A₂O, A₂CO₃ or A₄CO₄) yield the bulk modulus B_0 by fitting the calculated data points to the Murnaghan equation:[22]

$$
E(V) = \frac{VB_0}{B'_0} \left[\frac{(V_0/V)B'_0}{B'_0 - 1} + 1 \right] - C \tag{2}
$$

where the four fit parameters B_0 and B'_0 are the bulk modulus and its derivative, V_0 the equilibrium volume, and C the zero of the energy scale. By calculating the enthalpies:

$$
H_i = E_i(V) + pV = E_i(V) - \frac{\partial E}{\partial V}V \tag{3}
$$

for the various structure candidates i , we determine the transition pressures between different modifications i and j by setting the enthalpies equal, $H_i = H_j$.

For a given pressure p , the minimum of the enthalpies $H_i^X(p)$ over all modifications *i* found in the system X yields the enthalpy of system X as function of pressure $H^{X}(p)$. By comparing the appropriately weighted average of $H^{\lambda,0}(p)$ and $H^{\lambda_2 \text{CO}_3}(p)$ with $H^{\lambda_4 \text{CO}_4}(p)$, $H_{\text{comb}}(p) = H^{\lambda_2 \text{O}}(p) +$ $H^{A_2, co_3}(p)$, we can then calculate the pressure range, where the orthocarbonate is stable against disproportionation into the corresponding oxide and the carbonate.

In practice, we first compute the combined $E(V)$ curve of the A₂O-plus-A₂CO₃ system, $E_{\text{comb}}(V)$, via an inverse Legendre transform of $H_{\text{comb}}(p) = H^{\lambda_2 O}(p) + H^{\lambda_2 O_3}(p)$. Since we have generated the enthalpies $H^{A_2O}(p)$ and $H^{A_2CO_3}(p)$ via Equation (3), the simple geometric construction shown in Figure 1 can be employed to generate $E_{\text{comb}}(V)$ from $H_{\text{comb}}(p)$. We note that $V = V^{\text{A}_2 \text{O}}(p) + V^{\text{A}_2 \text{CO}_3}(p)$, where $V^{\lambda_2^{\chi_1}(\mathbf{p})}$ and $V^{\lambda_2 \chi_2}(\mathbf{p})$ are the volumes, at which the slope of $\partial E/\partial V$ equals (-p). The same relation must hold true for $E_{\text{comb}}(V)$, which implies that $E_{\text{comb}}(V)$ is the curve given by the set of all points $(V, E_{\text{comb}}(V))$, where $V = V^{\lambda_2 \circ}(p)$ + $V^{A_2 \text{CO}_3}(p)$, and

Figure 1. Construction of the point $E_{\text{comb}}(V(p))$ of the $E(V)$ curve representing the mixture of 1 and 2 at a given pressure $p = -\frac{\partial E_1}{\partial V} - \frac{\partial E_2}{\partial V} = \frac{\partial E_{\text{comb}}}{\partial V}$ starting the mixture of 1 and 2 at a given pressure $p = -\frac{\partial v}{\partial v} - \frac{\partial v}{\partial v}$ starting from the $E(V)$ curves for the individual components and using Equation (3). The complete curve $E_{\text{comb}}(V)$ is constructed by repeating this procedure for all pressures, and is given by the envelope of the line $H_{\text{comb}}(p) - pV$.

$$
E_{\text{comb}}(V) = H_{\text{comb}}(p) - pV
$$

= $H^{\text{A}_2O}(p) + H^{\text{A}_2CO_3}(p) - p(V^{\text{A}_2O}(p) + V^{\text{A}_2CO_3}(p))$ (4)
= $E^{\text{A}_2O}(p)(V^{\text{A}_2O}(p)) + E^{\text{A}_2CO_3}(V^{\text{A}_2O}(p))$

Note that if we had only access to $H_{\text{comb}}(p)$, we would have computed $V(p)$ via $V = \partial H_{\text{comb}}/\partial p$, that is:

$$
E_{\rm comb}(V) = H_{\rm comb}(p) - p \frac{\partial H_{\rm comb}}{\partial p} \tag{5}
$$

Using $E_{\text{comb}}(V)$ and the $E(V)$ curves of the hypothetical modifications of A_4CO_4 , $E_i(V)$, we then compute the transition pressures by determining the common tangent between $E_{\text{comb}}(V)$ and $E_i(V)$.

Results

Results of the global search: After performing more than 2500 global optimizations with Z formula units per simulation cell $(Z=1, 2, 3)$, 262 structures with space groups other than $P1$ or $P\overline{1}$ were observed. After elimination of duplicates, eighty seven different new structure types were found to constitute local minima on the various enthalpy landscapes. After a preliminary ranking of the structures on ab initio level, we have reduced the list of the most promising structure candidates to 52. A list of the new structure types after local optimizations employing the Hartree–Fock method is given in Tables 1–5; for DFT-B3LYP data, see Supporting Information: Tables S1.1–1.5).

The overview in Figure 2 shows that on average for each pressure, up to 45% of the optimization runs resulted in structures with space groups other than $P1$ or $P1$. The number of formula units applied in the simulation appears to have an influence on the diversity of the structure candi-¹ ¹Li (6-1G), Na (8-511G*), K (86-511G*), C (6-21G*) and O (8-411G) dates, ranging from essentially 100% P1 or P1 structures for

Figure 2. Distribution of the structure candidates over different pressure ranges for the A_4CO_4 system $(A = Li, Na, K, Rb, and Cs)$. Structures with space group P1 or P1 are not listed in order to avoid overloading the figure. In each entry, the different structure types are listed that were observed during the global optimization on the enthalpy landscape in the particular system at the specific pressure. Each structure type is represented by a symbol, and the number inside the symbol shows the number of times this particular local minimum had been found.

three formula units (in all systems) to about 35% for one formula unit in the case of Li_4CO_4 . The number of different structure types (besides those with space group $P1$ or $P1$) summed over all pressures for a given system ranged from 15 for Na₄CO₄, over 16 for Rb_4CO_4 , 18 for Li₄CO₄, to 19 for both K_4CO_4 and Cs_4CO_4 . In all systems, the number of different structure candidates shows a clear peak at the high end of the intermediary pressure range, and this peak is shifted to somewhat higher pressures with increasing cation size.

Regarding the kinetic stability of these structures, we have no quantitative data, since no threshold runs^[23,24] have been performed for this system. However, some of the structures were found during global optimizations over a rather wide range of pressures, indicating that they should possess a rather high degree of stability as long as the $CO₄$ unit itself remains stable. In our earlier work, $[4]$ we have also performed global optimizations for a wide range of pressures in the $Li₄CO₄$ system employing carbon and oxygen atoms/ions instead of a $CO₄$ unit together with lithium atoms/ions. These explorations have shown that for high pressures the coordination of carbon by oxygen changes from three (trigonally planar) to four (tetrahedral), suggesting that at sufficiently high pressures, the fourfold coordination will be stable.

Results of the local optimizations: In each system, the local refinement optimizations were performed for the most promising new candidates found during the global optimizations in the A_4CO_4 system. The resulting $E(V)$ curves, when using the HF approximation, are given in Figures 3, 5, 7, 9 and 11; the analogous curves for the DFT calculations are very similar (see Supporting Information Figures S1.1–S1.5 and Tables S1.1–S1.5). The optimized cell parameters and bulk moduli found employing the HF approximation for the selected structure candidates are given in Tables 1–5.

By comparing $H^{A_4CO_4}(p)$ with the appropriately weighted average of $H^{A_2O}(p)$ and $H^{A_2CO_3}(p)$, $H_{\text{comb}}(p) = H^{A_2O}(p)$ + $H^{A_2CO_3}(p)$, the pressure ranges where the orthocarbonate is stable against disproportionation into the corresponding oxide and the carbonate have been calculated. We find that in all systems the combined $E(V)$ curve of the A₂O-plus- A_2CO_3 system at standard pressure corresponds to the thermodynamically stable structure, while at high pressures some modification of A_4CO_4 should be favored. These results agree with the experimental observation that these hypothetical modifications do not appear to be thermodynamically stable at low pressures.

Unexpectedly, potassium and rubidium show relatively low transition pressures from A_2O -plus- A_2CO_3 to the hypothetical modifications of the A_4CO_4 system. This contradicts

the usual "chemical intuition", since if one considers the cation size to be the crucial quantity, one would expect $Li₄CO₄$ to have the lowest transition pressure. On the other hand, the cesium cation's basicity tends to favor a more localized type of bond, leading to the alternative expectation that $Cs₄CO₄$ should be the first orthocarbonate to be found with increasing pressure.

One should note that several interesting hypothetical high-pressure modifications fit into a scheme suggested by Nuss^[25] in the system $A_4 T iX_4$, where he treats the $A_4 T iX_4$ unit as a neutral heterocubane. The heterocubane is the tetrahedral structural derivative of the simple cube, and even rock salt can be described as a simple cubic packing of "Na₄Cl₄ heterocubanes".^[26] Since the orthocarbonates are rather complicated structures to plot and interpret from a chemical point of view we have attempted to describe our structures as 3D arrangements of heterocubanes when appropriate. The structures are shown below (see Figure 14– 20).

Results restricted to Li_4CO_4 : The mixture of Li_2O and $Li₂CO₃$ is stable over a wide range of pressure up to about 80–110 GPa. A mixture between the CaCl₂-type $(Li₂O)$ system) and γ -Cs₂CO₃ (Li₂CO₃ system) exists in the range of high effective negative pressures. Then we observe a collapse of volume due to a transition of the carbonate from the γ -Cs₂CO₃ to the Li₂CO₃-type-19 modification at moderate negative pressures. One should note that those pressures have only a meaning from a calculation point of view, since even when growing a crystalline phase inside a low-density amorphous matrix[17] experimentally only effective negative

pressures of at most a few GPa can be reached. At about -10 GPa, a transition for the oxide takes place: the $CaCl₂$ structure type undergoes a phase transition to the fluorite structure type $(CaF_2$ -type). From approximately -5 GPa up to 10 GPa there exists a mixture of the $CaF₂$ -type, for the oxide and the Zabujelite structure type for the carbonate. This is followed by a phase transition to the HP-Li₂CO₃type structure in the carbonate. Finally, the $CaF₂$ -type should undergo a pressure induced phase transition to the γ -US₂ structure (for more details see refs. [10, 11]).

From Figures 3 and 4 and Table 1 (for DFT-B3LYP data see Supporting Information Figure S1.1 and Table S1.1), we see that in the $Li₄CO₄$ system it should be possible to synthesize

orthocarbonates for pressures between 80–110 GPa. According to our calculations a phase transition should occur from a mixture of the γ -US₂-type modification of Li₂O and the HP–Li₂CO₃ phase for Li₂CO₃ to the Li₄CO₄-X in the Li₄CO₄ system. As we discussed in earlier work,^[27] one would expected from general considerations, that the Hartree–Fock calculations produce larger equilibrium volumes and higher transitions pressures than the DFT ones, with the experimental values lying somewhere in-between. The predicted transition pressure is rather high and is most likely the reason why all attempts to synthesize $Li₄CO₄$ in the range of pressures up to 50 GPa^[28] have failed thus far. The possible high-pressure structures in the $Li₄CO₄$ system are shown below (see Figure 14). According to nomenclature introduced in references [25] and [26], the structure Li_4CO_4 -X belongs to the $tI2(I)$ arrangement of heterocubanes. Table 1 and Table S1.1 in the Supporting Information show structural data, minimum volumes, bulk moduli and energies of selected structure candidates for the $Li₄CO₄$ system after local optimizations on Hartree–Fock and DFT-B3LYP basis, respectively.

Results restricted to Na_4CO_4 : Similar to the Li₄CO₄ system, the most promising structure candidates found for Na₄CO₄ were chosen for refinement optimizations. The $E(V)$ curves were computed and are shown in Figure 5 and Table 2 (for DFT-B3LYP data see Supporting Information Figure S1.3 and Table S1.2). The transition to the orthocarbonates should occur at 37–60 GPa (cf. Figure 6). In the case of the Na_4CO_4 system we have observed a number of phase transitions. As we can see in Figure 5, in the range of very high

Figure 3. $E(V)$ curves for selected structure candidates calculated on ab initio level (HF) in the Li₄CO₄ system together with the combined curve for $Li_2O+Li_2CO_3$. The dashed horizontal line refers to the energy minimum of the Li_4CO_4 system (E_{min}) .

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negative pressures a $CaCl₂$ oxide modification exists together with γ -Cs₂CO₃ (green line), and then a β -Rb₂CO₃ (blue line) and α -Na₂CO₃ (ma-

genta line) carbonate modification. The next phase transition for the oxide is from the $CaCl₂$ to the CaF_2 -structure type. Short cyan and yellow lines show the transition between the α -Na₂CO₃- and the β -Na₂CO₃carbonate modification with the oxide in the $CaF₂-structure$ type. In the range of pressures between -5 GPa to 5 GPa (about 10 GPa according to HF calculations) we find the oxide $CaF₂$ and the carbonate γ - $Na₂CO₃$ modification. Upon increasing pressure, several phase

Figure 4. Low-temperature modifications of $Li₄CO₄$ as function of pressure in equilibrium with the solid state reaction Li2O+Li2CO3. Only the modifications with the lowest enthalpy are depicted. Upper box: HF. Lower box: DFT-B3LYP.

Table 1. Data for structure candidates for $Li₄CO₄$ after local optimizations on ab initio level (HF).

Space group (no.) crystal system, type	Lattice constants $(a,b,c \hat{[A]}; a,\beta,\gamma [^{\circ}])$		Atom (multiplicity, Wyckoff letter), relative coordinates	$V_{\rm min}$ $[\AA^3]$	$E_{\rm min}$ [a.u.]		
		atom	\boldsymbol{x}	\mathcal{Y}	$\ensuremath{\mathnormal{Z}}$		
$P\bar{4}3m(215)$	$a = 4.03079$	C1(1b)	0.5	0.5	0.5	65.489	-367.5238
cubic	$\alpha = \beta = \gamma = 90$	O1(4e)	0.29764	0.29764	0.29764	164.017	
Li_4CO_4 -I		Li1(4e)	0.78515	0.78515	0.78515		
R3m(160)	$a = 4.32623$	C1(1a)	0.99961	0.99961	0.99961	80.020	-367.4370
trigonal	$\alpha = \beta = \gamma = 84.77741$	O1(3b)	0.81909	0.19316	0.81909	142.917	
Li_4CO_4 -II		O2(1a)	0.16322	0.16322	0.16322		
		Li1(1a)	0.71409	0.71409	0.71409		
		Li2(3b)	0.49861	0.10286	0.49861		
$I\bar{4}2m(121)$	$a = 6.39767$	C1(2b)	0	$\boldsymbol{0}$	0.5	175.659	-367.5997
tetragonal	$c = 4.29166$	O1(8i)	0.12253	0.12253	0.30592	126.680	
Li_4CO_4 -III	$\alpha = \beta = \gamma = 90$	Li(8i)	0.82438	0.82438	0.85875		
$I\bar{4}2m(121)$	$a = 4.04978$	C1(2a)	$\overline{0}$	$\overline{0}$	$\mathbf{0}$	129.690	-367.5686
tetragonal	$c = 7.90755$	O1(8i)	0.79583	0.79583	0.90050	167.560	
Li_4CO_4 -IV	$\alpha = \beta = \gamma = 90$	Li1(8i)	0.69676	0.69676	0.14250		
Cm(8)	$a = 6.55195$	C1(2a)	0.00348	$\overline{0}$	0.49870	159.491	-367.5201
monoclinic	$b = 6.33513$	O1(4b)	0.00048	0.81681	0.69332	137.759	
Li_4CO4 -V	$c = 3.85795$	O2(2a)	0.81207	$\overline{0}$	0.24347		
	$\alpha = \gamma = 90$	O3(2a)	0.18915	$\overline{0}$	0.35486		
	$\beta = 95.13547$	Li1(4b)	0.31427	0.21696	0.00993		
		Li2(4b)	0.20302	0.70794	0.47902		
C2(5)	$a = 8.09241$	C1(2a)	0	0.22106	$\bf{0}$	153.522	-367.5692
monoclinic	$b = 4.46021$	O1(4c)	0.87897	0.04668	0.08557	148.057	
Li_4CO_4 -VI	$c = 4.39575$	O2(4c)	0.08652	0.41015	0.25390		
	$\alpha = \gamma = 90$	Li1(4c)	0.31673	0.60461	0.44660		
	β = 104.62042	Li2(4c)	0.13494	0.63680	0.90308		
Cm(8)	$a = 5.30896$	C1(2a)	0.47214	$\boldsymbol{0}$	0.55192	124.980	-367.4861
monoclinic	$b = 5.98005$	O1(2a)	0.30191	$\overline{0}$	0.73538	166.404	
Li_4CO_4 -VII	$c = 4.43162$	O2(4b)	0.40212	0.19977	0.33911		
	$\alpha = \gamma = 90$	O3(2a)	0.77490	$\boldsymbol{0}$	0.79577		
	β = 117.33880	Li1(2a)	0.67213	$\overline{0}$	0.28867		
		Li2(2a)	0.07626	$\mathbf{0}$	0.25643		
		Li3(4b)	0.56275	0.72981	0.81968		
C2(5)	$a = 9.11258$	C1(2b)	0	0.60555	0.5	267.186	-367.4780
monoclinic	$b = 3.91271$	O1(4c)	0.48856	0.31775	0.65616	73.878	
Li_4CO_4 -VIII	$c = 7.50333$	O2(4c)	0.37139	0.89447	0.47686		
	$\alpha = \gamma = 90$	Li1(4c)	0.48814	0.82740	0.69961		
	$\beta = 92.90730$	Li2(4c)	0.33740	0.38760	0.47157		

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Table 1. (Continued)

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Figure 5. $E(V)$ curves for selected structure candidates calculated on ab initio level (HF) in the Na₄CO₄ system together with the combined curve for $Na₂O+Na₂CO₃$. The dashed horizontal line refers to the energy minimum of the Na_4CO_4 system (E_{min}) .

transitions occur in the oxide– carbonate mixture (see Figures 5 and 6), until finally between 37 GPa (DFT-B3LYP) and 60 GPa (HF) a transition to the orthocarbonate phase $Li₄CO₄$ -IV take place. As in the case of the $Li₄CO₄$ system, the DFT-B3LYP calculations show a lower value of the transition pressure than the Hartree–Fock ones. The possible high-pressure structure in the Na_4CO_4 system is shown below (see Figure 15). It appears to belong to the $cP1$ arrangement of heterocubanes (see refs. [25] and [25]). Table 2 and Table S1.2 (Supporting Information) show structural data, minimum volumes, bulk moduli and energies of the selected structure candidates for the Na_4CO_4 system after local optimizations on Hartree–Fock and DFT-B3LYP level.

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Table 2. (Continued)

Results restricted to K_4CO_4 : Following the same approach as in the cases of $Li₄CO₄$ and $Na₄CO₄$ we performed ab initio local optimizations of the K_4CO_4 system. The $E(V)$ curves and structural data are shown in the Figure 7 and Table 3 (for DFT-B3LYP data see Supporting Information, Figure S1.5 and Table S1.3). Again, we found the same general trends with respect to the relation between transition pressure and choice of ab initio method as in the $Li₄CO₄$ and

Na4CO4 systems. As we have observed in other systematic exploration of the alkali metal systems (see refs. [10], [11], [27] and [29]), potassium-based compounds exhibit many phase transitions over a comparatively small range of pressures (see e.g. ref. [27]). In these cases, the influence of temperature can affect our ability to predict exactly the sequence of phase transitions. But in the case of the orthocarbonate, the transition pressures are relatively high and the number of competing phases of the oxides and carbonates decreases. According to our calculations, a direct transition from the θ -Ni₂Si modification in the K_2O system plus the γ -Na₂CO₃ modification in the K_2CO_3 system to the K_4CO_4 -III structure should occur in the range of pressures

Figure 6. Low-temperature modifications of Na_4CO_4 as function of pressure in equilibrium with the solid state reaction Na₂O+Na₂CO₃. Only the modifications with the lowest enthalpy are depicted. Upper box: HF. Lower box: DFT-B3LYP.

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Figure 7. $E(V)$ curves for selected structure candidates calculated on ab initio level (HF) in the K₄CO₄ system together with the combined curve for $K_2O+K_2CO_3$. The dashed horizontal line refers to the energy minimum of the K_4CO_4 system (E_{min}) .

Table 3. Data for structure candidates for K_4CO_4 after local optimizations on ab initio level (HF).

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Table 3. (Continued)

between 23 GPa (DFT-B3LYP) and 33 GPa (HF). Upon increasing the pressure we find that the K_4CO_4 -III modification should exhibit a phase transition to the K_4CO_4 -VII structure type at 40–45 GPa (cf. Figure 8). Note that in the same range of pressures the carbonate γ -Na₂CO₃ modification undergoes a phase transition to the K_2CO_3 -Type-11 modification. The possible high-pressure structures in the K_4CO_4 system are shown below (see Figures 16 and 17). The structures belong to the $tT2(II)$ (K₄CO₄-III) and $oC2$ $(K_4CO_4$ -VII) arrangement of heterocubanes (see refs. [25]
and [26]). Table 3 and

and [26]). Table 3 and Table S1.3 in the Supporting Information show structural data, minimum volumes, bulk moduli and energies of the selected structure candidates for K_4CO_4 system after local optimizations on Hartree–Fock and DFT-B3LYP level, respectively.

Results restricted to Rb_4CO_4 : When performing ab initio calculations for $Rb₄CO₄$, which contains heavy Rb atoms, we encounter potential problems associated with the choice of the basis sets, and, possibly, with relativistic effects. As in the case of Rb_2O and Rb_2CO_3 , we have employed the ECP28MBW "Stuttgart/Cologne based"[30] pseudo-potential basis set (PPBS). For the

Figure 8. Low-temperature modifications of K_4CO_4 as function of pressure in equilibrium with the solid state reaction $K_2O+K_2CO_3$. Only the modifications with the lowest enthalpy are depicted. Upper box: HF. Lower box: DFT-B3LYP.

Table 4. Data for structure candidates for Rb_4CO_4 after local optimizations on ab initio level (HF).

Space group (no.) crystal system, type	Lattice constants $(a,b,c \hat{[A]}; \alpha, \beta, \gamma [^{\circ}])$	Atom (multiplicity, Wyckoff letter), relative coordinates				$V_{\rm min}$ $[\AA^3]$	$E_{\rm min}$ [a.u.]
		atom	\boldsymbol{x}	\boldsymbol{y}	$\ensuremath{\mathnormal{Z}}\xspace$		
$P\bar{4}3m(215)$	$a = 5.55848$	C1(1b)	0.5	0.5	0.5	171.739	-432.7075
cubic	$\alpha = \beta = \gamma = 90$	O1(4e)	0.34806	0.34806	0.34806	71.744	
Li_4CO_4 -I		Rb1(4e)	0.78992	0.78992	0.78992		
R3m(160)	$a = 6.27378$	C1(1a)	0.99961	0.99961	0.99961	210.809	-432.0655
trigonal	$\alpha = \beta = \gamma = 69.98695$	O1(3b)	0.81909	0.19316	0.81909	49.616	
Li_4CO_4 -II		O2(1a)	0.16322	0.16322	0.16322		
		Rb1(1a)	0.71409	0.71409	0.71409		
		Rb2(3b)	0.49861	0.10286	0.49861		
$I\bar{4}2m(121)$	$a = 8.97453$	C1(2b)	$\overline{0}$	$\boldsymbol{0}$	$0.5\,$	436.720	-432.7467
tetragonal	$c = 5.42225$	O1(8i)	0.09334	0.09334	0.35101	55.953	
Li_4CO_4 -III	$\alpha = \beta = \gamma = 90$	Rb1(8i)	0.82352	0.82352	0.85641		
$I\bar{4}2m(121)$	$a = 5.35530$	C1(2a)	$\boldsymbol{0}$	$\mathbf{0}$	$\boldsymbol{0}$	358.189	-432.7204
tetragonal	$c = 12.48952$	O1(8i)	0.84501	0.84501	0.93246	68.888	
Li_4CO_4 -IV Cm(8)	$\alpha = \beta = \gamma = 90$ $a = 8.65529$	Rb1(8i) C1(2a)	0.70283 0.00657	0.70283 $\bf{0}$	0.13724 0.49656	373.219	-432.2878
monoclinic	$b = 8.76626$	O1(4b)	0.99940	0.82590	0.71390	56.408	
Li_4CO_4 -V	$c = 4.99700$	O2(2a)	0.83315	$\bf{0}$	0.21440		
	$\alpha = \gamma = 90$	O3(2a)	0.19434	$\boldsymbol{0}$	0.35368		
	$\beta = 100.14260$	Rb1(4b)	0.80546	0.69459	0.00932		
		Rb2(4b)	0.69823	0.19818	0.47525		
C2(5)	$a = 11.51402$	C1(2a)	$\boldsymbol{0}$	0.92976	$\boldsymbol{0}$	379.398	-432.7495
monoclinic	$b = 5.98847$	O1(4c)	0.92004	0.06662	0.80202	61.829	
Li_4CO_4 -VI	$c = 6.11798$	O2(4c)	0.07969	0.79521	0.93402		
	$\alpha = \gamma = 90$	Rb1(4c)	0.14869	0.69849	0.46219		
	$\beta = 115.92290$	Rb2(4c)	0.86013	0.29905	0.11760		
$I\bar{4}2m(121)$	$a = 5.71070$	C1(2b)	$\boldsymbol{0}$	$\bf{0}$	0.5	336.131	-432.7332
tetragonal	$c = 10.30694$	O1(8i)	0.35097	0.35097	0.91664	73.537	
Rb_4CO_4 -I	$\alpha = \beta = \gamma = 90$	Rb1(8i)	0.79794	0.79794	0.14703		
Cm(8)	$a = 6.74926$	C1(2a)	0.46097	$\boldsymbol{0}$	0.27718	377.390	-432.7125
monoclinic	$b = 9.18786$	O1(4b)	0.90571	0.62834	0.40099	62.756	
Rb_4CO_4 -II	$c = 6.08714$	O2(2a)	0.67300	$\boldsymbol{0}$	0.24806		
	$\alpha = \gamma = 90$	O3(2a)	0.35638	$\boldsymbol{0}$	0.06563		
	$\beta = 91.18920$	Rb1(4b)	0.09280	0.22635	0.07654		
		Rb2(2a)	0.61257	$\boldsymbol{0}$	0.71980		
		Rb3(2a)	0.05874	$\boldsymbol{0}$	0.49529		
R3(146)	$a = 5.45813$	C1(1a)	0.20172	0.20172	0.20172	162.588	-432.6790
trigonal	$\alpha = \beta = \gamma = 90.46120$	O1(3b)	0.32205	0.05017	0.39466	78.548	
Rb_4CO_4 -III		O2(1a)	0.04315	0.04315	0.04315		
		Rb1(3b)	0.98349	0.50192	0.84502		
		Rb2(1a)	0.49822	0.49822	0.49822		
Cm(8)	$a = 6.79048$	C1(2a)	0.96147	$\boldsymbol{0}$	0.42202	372.618	-432.7133
monoclinic Rb_4CO_4 -IV	$b = 9.51845$	O1(2a) O2(2a)	0.85319	$\boldsymbol{0}$ $\boldsymbol{0}$	0.19346	63.767	
	$c = 5.78647$ $\alpha = \gamma = 90$	O3(4b)	0.17173 0.91091	0.87438	0.39255 0.54675		
	$\beta = 94.94170$	Rb1(4b)	0.09914	0.72794	0.22124		
		Rb2(2a)	0.13661	$\bf{0}$	0.86776		
		Rb3(2a)	0.56346	$\boldsymbol{0}$	0.64152		
I222(23)	$a = 5.40585$	C1(2c)	$\boldsymbol{0}$	$\boldsymbol{0}$	0.5	339.586	-432.7397
orthorhombic	$b = 10.50963$	O1(8k)	0.34430	0.58091	0.14104	72.460	
$Rb_{4}CO_{4}$ -VI	$c = 5.97721$	Rb1(8k)	0.82258	0.35170	0.72180		
	$\alpha = \beta = \gamma = 90$						
$P\bar{4}$ (81)	$a = 6.57331$	C1(1b)	$\boldsymbol{0}$	$\boldsymbol{0}$	0.5	137.816	-432.0784
tetragonal	$c = 3.18956$	O(4h)	0.78245	0.96102	0.82091	85.803	
Rb_4CO_4 -VII	$\alpha = \beta = \gamma = 90$	Rb1(4 h)	0.45965	0.77965	0.26688		
Amm2(38)	$a = 7.03882$	C1(2b)	0.5	$\bf{0}$	0.59618	283.326	-432.1556
orthorhombic	$b = 6.05810$	O1(4e)	0.5	0.25874	0.25090	77.964	
Rb_4CO_4 -VIII	$c = 6.64432$	O2(4c)	0.29329	$\boldsymbol{0}$	0.44249		
	$\alpha = \beta = \gamma = 90$	Rb1(4d)	$\boldsymbol{0}$	0.74594	0.23866		
		Rb2(4c)	0.71185	$\bf{0}$	0.99873		
$P2_{1}(4)$	$a = 8.80430$	C1(2a)	0.73622	0.27119	0.29928	317.204	-432.7167
monoclinic	$b = 5.26961$	O1(2a)	0.64509	0.49302	0.23290	78.758	
Rb_4CO_4 -IX	$c = 6.83880$	O2(2a)	0.65657	0.03345	0.24321		
	$\alpha = \gamma = 90$	O3(2a)	0.75563	0.28285	0.51502		

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Table 4. (Continued)

most promising structure types of the Rb_4CO_4 system, $E(V)$ curves and structure data are shown in the Figure 9 and Table 4(for DFT-B3LYP data see Supporting Information Figure S1.7 and the Table S1.4). Similar to the case of potassium, in the rubidium-based mixture of oxides and carbonates, many phase transitions occur in the pressure range from -5 GPa to $+5$ GPa. Once again this should not affect our ability to predict high-pressure transitions to the thermodynamically stable orthocarbonate phase. As one can see in Figure 10, in the Rb_4CO_4 system the first transition from Rb₂O (in the γ -US₂ phase) plus Rb₂CO₃ (in the γ -K₂CO₃ phase) to the Rb_4CO_4 -**IX** modification should occur between 22 GPa (DFT-B3LYP) and 32 GPa (HF). The $Rb₄CO₄$ -IX modification upon increasing pressure transforms into the Rb_4CO_4 -XI modification between 44 GPa (DFT-B3LYP) and 52 GPa (HF). This time the predicted

Figure 9. $E(V)$ curves for selected structure candidates calculated on ab initio level (HF) in the Rb₄CO₄ system together with the combined curve for $Rb_2O+Rb_2CO_3$. The dashed horizontal line refers to the energy minimum of the Rb_4CO_4 system (E_{min}).

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Figure 10. Low-temperature modifications of $Rb₄CO₄$ as function of pressure in equilibrium with the solid state reaction $Rb_2O+Rb_2CO_3$. Only the modifications with the lowest enthalpy are depicted. Upper box: HF. Lower box: DFT-B3LYP.

structure does not fit into the nomenclature of spatial arrangements of heterocubanes. Table 4 and Table S1.4 in the Supporting Information show structural data, minimum volumes, bulk moduli and energies of the selected structure candidates for $Rb₄CO₄$ system after local optimizations on Hartree–Fock and DFT-B3LYP level.

Results restricted to $Cs₄CO₄$: For the same reason as in the case of rubidium, we expect relativistic effects to be possibly

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of relevance for cesium-based compounds. Thus, we perform all calculations employing an optimized ECP46MWB "Stuttgart/Cologne based" basis set. For the most promising structure types of the $Cs₄CO₄$ system, $E(V)$ curves and corresponding structure data are shown in Figure 11and Table 5 (for DFT-B3LYP data see Supporting Information Figure S1.9 and Table S1.5). Considering the transition pressures in Figure 12 we find, for both computational procedures (HF and DFT-B3LYP), that in this system the transition to the possible orthocarbonate modification probably will require pressures in excess of 200 GPa. Of course, according to our experience, we cannot fully trust in

our calculations in the range of such extremely high pressures, especially if the range must be extrapolated using a fitting formula. We should note that in order to get convergence of the ab initio calculations for several extremely compressed structures under extreme pressures one should perform a re-optimization of the normally frozen basis set for small cell volume, in addition to the regular relaxation of the structure. However, this involves very time-consuming computations, and we can estimate, according to our experi-

Figure 11. $E(V)$ curves for selected structure candidates calculated on ab initio level (HF) in the Cs₄CO₄ system together with the combined curve for $Cs₂O+Cs₂CO₃$. The dashed horizontal line refers to the energy minimum of the $Cs₄CO₄$ system (E_{min}).

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ence, that the reliability of our prediction of transition pressures in this system to be sufficiently high at least up to 150 GPa. We further note that our calculated transition pressures in the range of 220 to 310 GPa are probably the upper limit of the transition pressure in $Cs₄CO₄$ system. We can roughly estimate that the actual pressure in the case of Cs4CO4 could be up to 50 GPa lower than those predicted by our calculations using frozen basis sets. As in the case of $Rb₄CO₄$ the predicted structure of the $Cs₄CO₄$ does not fit into the nomenclature of spatial arrangements of heterocubanes. Table 5 and Table S1.5 in the Supporting Information show structural data, minimum volumes, bulk moduli and

energies of the selected structure candidates for $Cs₄CO₄$ system after local optimizations on Hartree–Fock and DFT-B3LYP level.

Discussion

In this work we have presented structure candidates for the alkali metal orthocarbonates at low temperatures and pressures up to 250 GPa. We have computed transition pressures for the solid state reaction $A_2O + A_2CO_3 \rightleftharpoons A_4CO_4 (A=Li,$ Na, K, Rb, and Cs). The results of the calculations show

Figure 12. Low-temperature modifications of $Cs₄CO₄$ as function of pressure in equilibrium with the solid state reaction $Cs_2O + Cs_2CO_3$. Only the modifications with the lowest enthalpy are depicted. Upper box: HF. Lower box: DFT-B3LYP.

Figure 13. Transition pressures between the mixture $A_2O + A_2CO_3$ and the orthocarbonate A_4CO_4 .

that, at zero temperature and zero pressure, there exists only the mixture of the corresponding most stable modifications in the system A_2O and A_2CO_3 . We find that potassium and rubidium show relatively low transition pressures from A_2O -plus- A_2CO_3 to the hypothetical modifications of A4CO4. Considering the cation size, one would expect the lithium orthocarbonate to have the lowest pressure of formation, while when arguing from alkali oxide basicity, cesium orthocarbonate should appear first as function of pressure. Actually the opposite trend has been found: plotting the cations versus transition pressure to the hypotheti-

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cal modifications in the A_4CO_4 system we find a minimum for K and Rb (see Figure 13).

In order to gain some estimate for the uncertainty in the theoretical results we have employed two different Hamiltonians (HF and DFT) while using the same combinations of slightly re-optimized basis sets for all three classes of systems: oxides, carbonates and orthocarbonates. As we have discussed in reference [10, 11] and [29], essentially all volumes calculated with HF are somewhat larger than the experimental ones, and cells computed with DFT-B3LYP are close to or smaller than experimental values, respectively. This fits our general observation in all of the system we have studied. This also suggests that the experimental transition pressure should lie between the ones calculated with HF (upper limit) and DFT (lower limit).

As we commented in an earlier paper,^[27] within a given group of ab initio methods (HF and DFT) there exists sometimes a noticeable dependence on the choice of basis sets. For this reason we have performed some additional optimizations of the outermost coefficients of the basis sets² in a few cases. In order to avoid the problem of basis set comparability while studying rather different systems with the same overall composition A_4CO_4 and A_2O plus A_2CO_3 , basis sets employed in calculations of the orthocarbonates are almost the

same as the ones for the oxides and carbonates.

We should note that we cannot guarantee that our global search procedure will identify all possible candidates for high-pressure structures. Considering the complexity of the

² The main basis set optimization task had been performed during earlier studies in other systems. For example, optimizations and testing of the alkali metal basis sets was mostly accomplished in the system of alkali metal sulfides (see ref. [27]). Then, fine tuning of the alkali metal basis sets and optimization of the oxygen basis set has been performed in the alkali metal oxides (see ref. [11]). Finally, the main optimization of the carbon basis set has been done in the alkali metal carbonates (see ref. [10]).

Figure 14. High-pressure modification of Li_4CO_4 system: Li_4CO_4 -X. Little (red) spheres refer to oxygen, big (blue) spheres refer to the alkali metal (A=Li, Na, K, Rb, Cs), and little (white) spheres in the center of the oxygen tetrahedra refer to carbon.

Figure 16. High-pressure modification of K_4CO_4 system: K_4CO_4 -III. For notation see Figure 14.

Figure 15. High-pressure modification of Na_4CO_4 system: Na_4CO_4 -IV. For notation see Figure 14.

landscapes, missing a relevant candidate is most likely to happen for the orthocarbonates and less likely so for the oxides and carbonates, in particular since already employing

Figure 17. High-pressure modification of K_4CO_4 system: K_4CO_4 -VII. For notation see Figure 14.

three formula units during the global optimization of the orthocarbonates proved to be computationally very expensive. However, if another thermodynamically more stable candidate existed among the orthocarbonates, then this would actually result in a lower transition pressure. Thus, we suggest that our predicted pressures are most likely upper limits for

Figure 18. High-pressure modification of Rb_4CO_4 system: Rb_4CO_4 -IX. For notation see Figure 14.

the actual transition pressures (even though this would regrettably imply that we would not have found the correct modification !).

Finally, there is the surprisingly high expected transition pressure for Cs4CO4. Possible sources of error associated with the ab initio calculation of $Cs₄CO₄$ are relativistic effects and dispersive interactions, which are of particular importance for Cs, and which can only be partly accounted for in the choice of basis sets and pseudopotentials. Furthermore, experience has shown that the

For notation see Figure 14.

Figure 20. High-pressure modification of $Cs₄CO₄$ system: $Cs₄CO₄$ -III. For notation see Figure 14.

extrapolation of the fit of the $E(V)$ curve with the Murnaghan formula can be very sensitive to the number of calculated data points, in particular for very small volumes and high pressures. Finally, a possible reason might be the relatively large size of the cesium atoms, which do not allow sufficiently regular joint packing of the $CO₄$ units and the Cs atoms, and thus forces the system into energetically unfavorable arrangements compared to the ones exhibited by for example, K_4CO_4 or Rb_4CO_4 .

Acknowledgement

Figure 19. High-pressure modification of Rb_4CO_4 system: Rb_4CO_4 -XI.

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